

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09981642
				Filing Date	October 16, 2001
				First Named Inventor	MELCHIOR, Peter
				Art Unit	3691
Examiner Name	Bijendra K. SHRESTHA				
Sheet	3	of	2	Attorney Docket Number	027392-000440US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-5359509	10/25/94	Little et al.	
	AB	US-5644727	7/1/97	Atkins	
	AC	US-5704044	12/30/97	Tarter et al.	
	AD	US-5732400	3/24/98	Mandler et al.	
	AE	US-5717989	2/10/98	Tozzoli et al.	
	AF	US-5875437	2/23/99	Atkins	
	AG	US-5970470	10/19/99	Walker et al.	
	AH	US-6035288	3/7/00	Solomon	
	AI	US-6055514	4/25/00	Wren	
	AJ	US-6058378	5/2/00	Clark et al.	
	AK	US-6128602	10/3/00	Northington et al.	
	AL	US-6151588	11/21/00	Tozzoli et al.	
	AM	US-6216115	4/10/01	Barrameda et al.	
	AN	US-6739517	5/25/04	Krueger	
	AO	US-7047219	5/16/06	Martin et al.	
	AP	US-20020095373	7/18/02	Melchior et al.	
	AQ	US-20020099655	7/25/02	Melchior et al.	
	AR	US-20020107785	8/8/02	Melchior et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AS	WO	96/12242		4/25/96			<input type="checkbox"/>
	AT	WO	02/035514		4/25/02			<input type="checkbox"/>

Examiner Signature	/Bijendra K. Shrestha/	Date Considered	08/03/2008
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Sheet	4	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AU	Mohamed, Packeer, "Examining cash-flow forecasts," New Straits Times, Kuala Lumpur, December 10, 1996, (5 pages)	<input type="checkbox"/>
	AV	Watterson, P., "Credit default swaps...", Credit [online], Nov. 2002 [retrieved Mar. 28, 2007] from URL < http://www.srz.com/files/article-november02-creditdefaultswaps.pdf >	<input type="checkbox"/>

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